

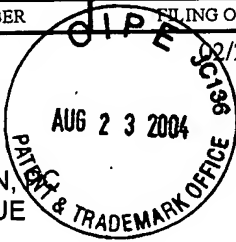


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/789,460	02/26/2004	Sandra E. Ring	2037

24264
 TIMOTHY J MARTIN,
 9250 W 5TH AVENUE
 SUITE 200
 LAKEWOOD, CO 80226



CONFIRMATION NO. 5170

FORMALITIES LETTER



OC000000012708335

Date Mailed: 05/19/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/24/2004 DTESSEM1 00000037 10789460

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 DP
02 FC:1202	450.00 DP
03 FC:1201	344.00 DP
04 FC:1051	130.00 DP

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$794 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

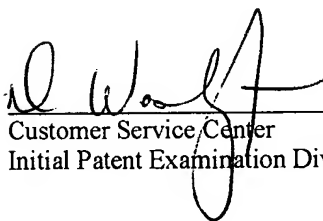
SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1694** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$794**
 - **\$344** for **4** independent claims over 3.
 - **\$450** for **25** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that the foregoing **REQUEST FOR ONE MONTH EXTENSION OF TIME (2 pages) AND CHECK NO. 18455 IN THE AMOUNT OF \$110.00** is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this 18th day of August, 2004.

Marcie F. King
Marcie F. King



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sandra E. Ring et al.
Appl. No.: 10/789,460
Filed: February 26, 2004
Docket No.: 2037
Conf. No.: 5170
Title: **METHODOLOGY, SYSTEM, COMPUTER READABLE MEDIUM, AND
PRODUCT PROVIDING A SECURTY SOFTWARE SUITE FOR
HANDLING OPERATING SYSTEM EXPLOITATIONS**
Art Unit: 2184
Examiner:
Action: **TRANSMITTAL OF SURCHARGE AND FILING FEE**
Date: August 17, 2004

To: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the Notice to File Missing Parts of Application dated May 19, 2004 enclosed is our check no. 18454 in the amount of \$1,694.00 which includes the surcharge fee of \$130.00, extra claim fee of \$794.00 and filing fee \$770.00 for completion of this application and a copy of the Notice to File Missing Parts of Application as required by the Notice. Filed concurrently herewith is a request for a one-month extension of time.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

TIMOTHY J. MARTIN, P.C.

By: 

Timothy J. Martin, #28,640
Michael R. Henson, #39,222
Rebecca A. Gegick, #51,724
9250 W. 5th Avenue, Suite 200
Lakewood, Colorado 80226
(303) 232-3388



CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

hereby certify that the foregoing ***TRANSMITTAL OF SURCHARGE AND FILING FEE (2 pages), CHECK NO. 18454 IN THE AMOUNT OF \$1,694.00, INCLUDING COPY OF NOTICE TO FILE MISSING PARTS OF APPLICATION (2 pages)*** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 18th day of August, 2004.

Marcie F. King
Marcie F. King



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Docket No.: 2037
Conf. No. 5170
Title: **METHODOLOGY, SYSTEM, COMPUTER READABLE MEDIUM, AND
PRODUCT PROVIDING A SECURTY SOFTWARE SUITE FOR
HANDLING OPERATING SYSTEM EXPLOITATIONS**
Art Unit: 2184
Examiner:

Action: **TRANSMITTAL OF MISSING PARTS - DECLARATION**
Date: August 17, 2004

To: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed for filing is the Declaration for Patent Application in reference to the above-identified patent application. This was requested in your Notice to File Missing Parts of Application dated May 19, 2004.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney at the number listed below.

Respectfully submitted,

TIMOTHY J. MARTIN, P.C.

By: 

Timothy J. Martin, #28,640
Michael R. Henson, #39,222
Rebecca A. Gegick, #51,724
9250 W. 5th Avenue, Suite 200
Lakewood, Colorado 80226
(303) 232-3388



CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the foregoing ***TRANSMITTAL OF MISSING PARTS – DECLARATION (2 pages) AND DECLARATION (1 page)*** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 18th day of August, 2004.

Marcie F. King
Marcie F. King



CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that the foregoing **TRANSMITTAL OF MISSING PARTS – FORMAL DRAWINGS AND ABSTRACT (2 pages), FORMAL DRAWINGS (29 pages) AND ABSTRACT (1 page)** is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 18th day of August, 2004.

Marcie F. King
Marcie F. King



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sandra E. Ring et al.
Appl. No.: 10/789,460
Filed: February 26, 2004
Docket No.: 2037
Conf. No. 5170
Title: **METHODOLOGY, SYSTEM, COMPUTER READABLE MEDIUM, AND
PRODUCT PROVIDING A SECURTY SOFTWARE SUITE FOR
HANDLING OPERATING SYSTEM EXPLOITATIONS**
Art Unit: 2184
Examiner:

Action: **TRANSMITTAL OF MISSING PARTS – FORMAL DRAWINGS AND
ABSTRACT**
Date: August 17, 2004

To: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed for filing are twenty-nine (29) sheets of Formal Drawings and an Abstract as needed in reference to the above-identified patent application. This was requested in your Notice to File Missing Parts of Application dated May 19, 2004.

If you have any questions concerning this matter, please do not hesitate to contact the undersigned attorney.

Respectfully submitted,

TIMOTHY J. MARTIN, P.C.

By: 

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Michael R. Henson, #39,222
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